

## ETHICAL SELF-ASSESSMENT FOR LIMES APPLICATIONS

Research done in the context of LIMES must be conducted in accordance with the Dutch Code of Ethics for Research in the Social and Behavioral Sciences Involving Human Participants, as accepted by the Deans of Social Sciences in the Netherlands in 2018.<sup>1</sup> To guarantee this, Maastricht University's Ethical Research Committee for the Faculty of Law, the Faculty of Arts and Social Sciences, the Faculty of Science and Engineering, and the School of Business and Economics (ERCIC) will assess whether the research proposals can be carried out in an ethical way. In order to facilitate this, please complete the following self-assessment, by answering these questions and providing elaboration if required.

	Yes/No/NA
1. Does the research take place at one or several partner organizations, through placements, internships and the like.?	
a. If yes, does this institution have an interest in the results of the research or does affiliation with this institution affect the research in any way, such as the kind of results produced and presented?	
b. Are there any potential conflicts of interest between the research and the organizations?	
2. Will you work with human research informants?	
a. Will you be conducting interviews?	
b. Will you be conducting surveys?	
c. Will you be conducting participant observation?	
d. Will you be conducting focus groups?	
e. Will you be conducting experiments?	
If yes, do these experiments involve deception, i.e. will the participants not be informed about the true goal or procedure of the experiment?	
f. Will informants be expected to contribute more than two hours of their time?	
g. Will you obtain informed consent from research informants?	
h. Are any of your research informants minors or children?	
i. Are there any risks or potential discomforts associated with participation in your research? This is not limited to physical risks, but also includes risks to mental well-being, reputational damage and the like.	
j. Do your research informants belong to vulnerable populations, such as, for example, refugees, minorities or marginalized groups?	
k. Will you gather personal data about research informants?	

<sup>1</sup> <https://www.utwente.nl/en/bms/research/forms-and-downloads/code-of-ethics-for-research-in-the-social-and-behavioural-sciences-dsw.pdf>

l. Will you make any audio-visual recordings as part of this research?	
m. Do you guarantee anonymity of research informants?	
n. Will you need to keep any data or results confidential?	
3. Does any of the research take place outside of the EU?	
a. If yes, could the situation in the country put the informants at risk?	
b. If yes, will you be importing any material – including personal data- from non-EU countries into the EU?	
c. If yes, will you be exporting any material – including personal data- from the EU to non-EU countries?	
4. Does any of the research take place in low and/or lower-middle income countries? <sup>2</sup>	
5. Are there any risks for the researcher?	
6. Does your research have the potential of misuse of research results?	
7. Are there any other ethics issues that should be taken into consideration?	
If yes, please specify.	

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<sup>2</sup> As defined by the World Bank at <https://datahelpdesk.worldbank.org/knowledgebase/articles/906519>